



# UNITED STATES PATENT AND TRADEMARK OFFICE

CP

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/800,328	03/06/2001	Peter V. Radatti	46-00	4646

7590 10/20/2006  
CyberSoft, Inc.,  
1508 Butler Pike  
Conshohocken, PA 19428-1322

EXAMINER
----------

REVAK, CHRISTOPHER A

ART UNIT	PAPER NUMBER
----------	--------------

2131

DATE MAILED: 10/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/800,328

Applicant(s)

RADATTI ET AL.

Examiner

Christopher A. Revak

Art Unit

2131

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 5/2/06.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 4-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 4-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 March 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed have been fully considered but they are not persuasive.

The applicant argues that the teachings of Hawe and Ranger do not disclose of processing code on a communication channel. The examiner disagrees with the applicant's assertion, the current claim language calls for intercepting and processing code on the communication channel by an apparatus. Hawe discloses of an apparatus that processes packets that require cryptographic processing prior to outbound transmission onto a communication network, see column 6, lines 10-12. The incoming packets are parsed in order to determine the protocol used to generate the packet, see column 10, lines 36-45. The applicant further argues that Hawe provides the process of prepending a cryptographic preamble to the beginning of the packet and stripping it off before transmission onto a network and the appendage pertains to being able to detect the information which has already been appended with a string. The claim language is broad and does not distinguish from the prior art of record. It appears that the applicant is arguing the claims in light of the specification. The claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

### ***Double Patenting***

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claims 4-17 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-13 of copending Application No. 09/800,314. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 4-17 of the instant application are envisioned by copending Application No. 09/800,314 in that claims 1-13 of the copending application contain all the limitations of claims 4-17 of the instant application. Claims 4-17 of the instant application therefore is not patentably distinct from the copending application, and as such, is unpatentable for obvious-type double patenting.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

4. Claims 4-17 provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-36 of copending Application No. 10/655,387. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 4-17 of the instant application are envisioned by copending Application No. 10/655,387 in that claims 1-36 of the copending application contain all the limitations of claims 4-17 of the instant application. Claims 4-17 of the instant application therefore is not patentably distinct from the copending application, and as such, is unpatentable for obvious-type double patenting.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

5. Claims 4-17 provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-12 of copending Application No. 09/838,979. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 4-17 of the instant application are envisioned by copending Application No. 09/838,979 in that claims 1-12 of the copending application contain all the limitations of claims 4-17 of the instant application. Claims 4-17 of the instant application therefore is not patentably distinct from the copending application, and as such, is unpatentable for obvious-type double patenting.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 4-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hawe et al, U.S. Patent 5,070,528 in view of Ranger et al, U.S. Patent 6,393,568.

As per claims 4,8, and 12, it is disclosed by Hawe et al of an apparatus and method for processing secure/altered code transmitted through a communication channel. The protocol is parsed (by means of a protocol parser) and then transferred to be decrypted (by means of a decryption component)(col. 10, lines 38-44 and col. 10, line 63 through col. 11, line 1) and it is interpreted by the examiner that the secure/altered code is intercepted by the protocol parsing means as it is transmitted through the communication channel since it is disclosed by Hawe et al that the basic step of identifying the protocol used to generate the packets determines which type of encryption is needed (col. 10, lines 38-44). The teachings of Hawe et al fail to disclose of a proscribed code scanner that scans the decrypted code. It is disclosed by Ranger et al that encrypted information is decrypted prior to scanning by a content inspection mechanism (proscribed code scanner)(col. 2, lines 40-43 & 58-61). It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply decryption prior to scanning for viruses. Ranger et al discloses

Art Unit: 2131

motivational benefits by decrypting code prior to scanning for viruses by reciting by discussing a need for detecting viruses in communications received in encrypted form such that it would provide virus detection in real time for a communication system (col. 1, lines 58-64) and virus programs are not able to decrypt encrypted information (col. 1, lines 21-23). It would have been obvious that the teachings of Hawe et al would have found the teachings of Ranger et al beneficial as a means of efficiently scanning encrypted files for viruses by decrypting the files prior to scanning for viruses to provide real time content inspection for viruses.

As per claim 5, the teachings of Ranger et al disclose of an encryptor for re-encrypting code after being processed by the proscribed code scanner (col. 7, lines 11-27). Please refer above for the motivational benefits of the teachings of Ranger et al as applied to Hawe et al.

As per claims 6,7,13, and 14, the teachings of Hawe et al disclose of using DES encryption, however the teachings are not limited to DES and can be applied to other encryption algorithms (col. 8, lines 16-23) wherein the examiner is interpreted those encryption algorithms to include SSL and S/MIME.

As per claims 9 and 15, Ranger et al discloses of re-encrypting code if it is fully trusted (indicator is negative)(col. 7, lines 20-27). Please refer above for the motivational benefits of the teachings of Ranger et al as applied to Hawe et al.

As per claims 10 and 16, Ranger et al teaches of indicating the presence of the proscribed code if the indicator is positive (col. 6, lines 32-43). Please refer above for the motivational benefits of the teachings of Ranger et al as applied to Hawe et al.

Art Unit: 2131

As per claim 11, Hawe et al discloses of intercepting code prior to decrypting the encrypted code (col. 10, lines 38-44).

As per claim 17, Hawe et al discloses of intercepting code prior to decrypting the encrypted code (col. 10, lines 38-44). Ranger et al is relied upon for disclosing that encrypted information is decrypted prior to scanning by a content inspection mechanism (proscribed code scanner in a separate system)(col. 2, lines 40-43 & 58-61). The presence of the proscribed code is indicated if the indicator is positive occurring on a separate machine (col. 6, lines 32-43). Please refer above for the motivational benefits of the teachings of Ranger et al as applied to Hawe et al.

### ***Conclusion***

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.



Art Unit: 2131

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher A. Revak whose telephone number is 571-272-3794. The examiner can normally be reached on Monday-Friday, 6:30am-3:00pm.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CR

  
October 17, 2006

CHRISTOPHER REVAK  
PRIMARY EXAMINER

 10/17/06